

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

01/31/01  
JC984 U.S. PTO

In re Application

PATENT APPLICATION

Inventor(s): Guarionex Morales, et al.

SC/Serial No.: Unknown

Filed: Herewith

Title: METHODS FOR CHARACTERIZING AND  
REDUCING ADVERSE EFFECTS OF  
TEXTURE OF SEMICONDUCTOR FILMS

Customer No. 23910

Jc930 U.S. PTO  
09/773312  
01/31/01

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Matthew A. Mahling (Signature)  
Matthew A. Mahling  
Signature Date: January 31, 2001

UTILITY PATENT APPLICATION TRANSMITTAL LETTER UNDER 37 C.F.R §1.53(b)

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith for filing is the patent application identified as follows:

Inventor(s): Guarionex Morales, Lu You, Richard J. Huang, Simon Chan, Dawn Hopper

Title: METHODS FOR CHARACTERIZING AND REDUCING ADVERSE EFFECTS OF  
TEXTURE OF SEMICONDUCTOR FILMS

No. of pages in Specification: 68; No. of Claims: 45.

No. of Sheets of Drawings: 16; Formal:   , Informal: X.

Also enclosed are:

- X A Declaration.
- X An Assignment and Recordation Form Cover Sheet.
- A certified copy of a priority application.
- A Power of Attorney.
- A Statement Claiming Small Entity Status.
- An Information Disclosure Statement under 37 C.F.R. §1.56.

The filing fee pursuant to 37 C.F.R. §1.16 is determined as follows:

No. Filed	No. Extra	Rate Small Entity/ Other Than Small Entity		
Basic Fee		\$355.00 \$710.00	=	\$710.00
Total Claims <u>45</u> - 20	= <u>25</u> *	\$ 9.00 \$ 18.00	=	\$450.00
Independent Claims <u>11</u> - 3	= <u>8</u> *	\$ 40.00 \$ 80.00	=	\$640.00
First Presentation of Multiple Dependent Claim(s) <u>  </u>		\$135.00 \$270.00	=	\$
Total			=	\$1800.00

\*If the difference is less than zero, enter "0".

- Please charge Deposit Account No. 06-1325 in the amount of \$  . A duplicate copy of this authorization is enclosed.
- X A check in the amount of \$1840.00 to cover the filing fee (\$1800.00), and assignment recording fee (\$40.00), if applicable, is enclosed.

X The Commissioner is hereby authorized to charge underpayment of any additional fees (including those listed below) or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

X Any additional filing fees under 37 C.F.R. §1.16.

X Any patent application processing fees under 37 C.F.R. §1.17.

This application is filed pursuant to 37 C.F.R. §1.53(b) in the name of the above-identified Inventor(s).

— This application claims priority to an earlier-filed Provisional patent application, as set forth more fully in this application.

Please direct all correspondence concerning the above-identified application to the following address:

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Respectfully submitted,

Date: January 31, 2001

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